

PART B - FEE(S) TRANSMITTAL



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9629 7590 05/23/2007

MORGAN LEWIS & BOCKIUS LLP
 1111 PENNSYLVANIA AVENUE NW
 WASHINGTON, DC 20004

07/02/2007 CCHAU2 00000053 500310 09679813

01 FC:2501 700.00 DA

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<i>Daniel H. Golub</i>	(Depositor's name)
<i>[Signature]</i>	(Signature)
<i>June 27, 2007</i>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/679,813

10/05/2000

Steven A. Lapierre

052144-5001

8794

TITLE OF INVENTION: SYSTEM AND METHOD FOR ELECTRONIC TRADING OF ASSETS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$0	\$700	08/23/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
AKINTOLA, OLABODE	3691	705-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 *Morgan Lewis & Bockius LLP*
 2 _____
 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Novalex Technologies, Inc.

Laryhorne, PA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

☐ A check is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number *50-0310* (enclose an extra copy of this form).

5. Change In Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

Typed or printed name

Registration No.

[Signature]
Daniel H. Golub

June 27/2007
33,701

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Attorney Docket No. 052144-5001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Where application of: Steven A. Lapierre

Serial No.: 09/679,813

Group No.: 3691

Filed: October 5, 2000

Examiner: Akintola, Olabode

For: System and Method for Electronic Trading of Assets

Mail Stop Issue Fee

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF PAYMENT OF PUBLICATION AND ISSUE FEES (37 C.F.R. § 1.311)

1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.

2. Fee (37 C.F.R. §§ 1.18(a) and (b)):

Application status is:

☒ small business entity--fee

Regular

☒ \$700.00

Design

☐ \$235.00

☐ verified statement attached

☒ small entity status asserted October 5, 2000

☐ other than a small entity--fee

☐ \$1,400.00

☐ \$470.00

3. Payment of fee:

☐ Enclosed is a check for \$0000.00.

☒ Charge Account 50-0310 the sum of \$700.00. A duplicate of this request is attached.

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Reg. No.: 33,701


SIGNATURE OF ATTORNEY

Daniel H. Golub

type or print name of attorney

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1701 Market Street

Philadelphia, PA 19103-2921

Tel. No.: 215-963-5055

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8a)

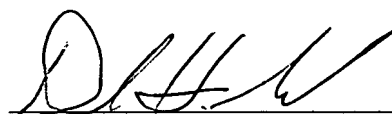
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Signature

Date: June 27, 2007

Daniel H. Golub

(type or print name of person certifying)